

TDA Internal Audit

Fiscal Year 2016 Audit Plan

Audit Title	Area / Initial Objective(s)	Projected Hours
2015 Carryover Audits		
Audit of Controls Over Purchasing and Accounts Payable Activities	Review select purchasing and accounts payable activities to determine the process efficiencies and effectiveness, and compliance with state regulations.	300
2016 Audits		
Audit of the Community Development Block Grant Program	Review select program operations to determine compliance with applicable rules and regulations, and the effectiveness of controls over expenditures/payments, policies and procedures, and grant/contractual agreements.	800
IT Governance	Review processes in place to ensure IT supports the strategies and objectives of TDA to determine compliance with applicable rules and regulations, governance effectiveness, and IT performance and development frameworks.	800
Follow-up on Prior Audits		
Assess the implementation status of corrective actions taken in response to prior internal and external audit findings and recommendations.		300
Risk Assessment, Audit Plan and Annual Report		
Prepare Risk Assessment, Internal Audit Plan and Internal Audit Annual Report.		900
Consulting Services and Special Projects		
Perform activities as requested by management throughout the year, as permitted by internal audit resources and the Texas Internal Audit Act. These activities may address governance, risk management, or control issues.		850
External Audit Coordinator		
Act as a liaison for external audit activities, providing a single point of contact for all audits of TDA by oversight entities. Internal Audit assists management in coordinating TDA and external auditor interactions to ensure both parties receive accurate and timely information and feedback.		150
Total		4,100

** Projections based on full staffing.*